



2152

PATENT  
P5764  
0045.0017

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*11-26-02*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
M.A. Carlson et al.	)	
Serial No.: 10/051,991	)	
Filed: January 16, 2002	)	Art Unit: 2152
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR DETERMINING	)	
A MODIFICATION OF A	)	
SYSTEM RESOURCE	)	
CONFIGURATION	)	

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Assistant Commissioner of Patents  
Washington, D.C. 20231

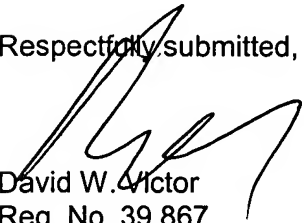
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

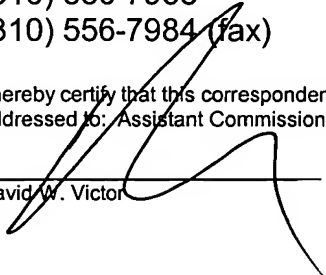
Respectfully submitted,

  
David W. Victor  
Reg. No. 39,867

Dated: October 7, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 7, 2002.

  
\_\_\_\_\_  
David W. Victor

10/7/02  
(Date)

*(Use several sheets if necessary)*

**Group Art I**  
2152

On Number 1	
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SS	FILING DATE IF APPROPRIATE

[illegible]

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation	
												YES	NO

	Preliminary Amendment submitted in U.S. Patent Application No. 09/928,128, filed on August 10, 2001.
	U.S. Patent Application Serial No. 09/928,128, filed on August 10, 2001, entitled, "Method, System, and Program for Generating and Using Configuration Policies", invented by M.A. Carlson and R.E. de Silva.
	U.S. Patent Application Serial No. 09/927,985, filed on August 10, 2001, entitled, "Method, System, and Program for Managing Multiple Resources in a System", invented by M.A. Carlson and R.E. de Silva.
	U.S. Patent Application Serial No. 10/041,883, filed on October 18, 2001, entitled, "Method, System, and program for Configuring System Resources", invented by M.A. Carlson And R.E. de Silva.
	Sun Microsystems, Inc., "Federated Management Architecture (FMA) Specification", Version 1.0, Rev. 0.4, January 21, 2000, pp. 1-184.
	Wilson, S., for Brocade Communications Systems, Inc., "Fibre Channel Storage Area Network Discovery", Rev. 3.5, September 10, 1999, pp. 1-20.
	Veritas Software Corporation, "Storage Virtualization", April 2001, pp. 1-19.
	Sun Microsystems, Inc., "Sun StorEdge Component Manager 2.1 User's Guide", Rev. A, July 2000, pp. 1-148

**DATE CONSIDERED**

PTO/SB/ 08 (10-02)